

2023/06/27

Underground Science - Day 4

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Neutrino



An Origin Story: The Neutrino





- Tritium (an isotope of hydrogen) beta decays to Helium-3
- Tritium has 1 proton & 2 neutrons
- Helium-3 has 2 protons & 1 neutron

- decay.
- - energies.



 If we were to observe many beta decays of tritium, we would expect that the emitted electron would have the same energy in each

It is observed to have different





Abschrift/15.12.55 M

Offener Brief an die Gruppe der Radioaktiven bei der Gauvereins-Tagung zu Tubingen.

Abschrift

Physikalisches Institut der Eidg. Technischen Hochschule Zurich

Zürich, 4. Des. 1930 Gloriastrasse

Liebe Radioaktive Damen und Herren,

Wie der Ueberbringer dieser Zeilen, den ich huldvollst anzuhören bitte, Ihnen des näheren auseinandersetzen wird, bin ich angesichts der "falschen" Statistik der N- und Li-6 Kerne, sowie des kontinuierlichen beta-Spektrums auf einen versweifelten Ausweg verfallen um den "Wechselsats" (1) der Statistik und den Energiesats su retten. Nämlich die Möglichkeit, es könnten elektrisch neutrale Teilchen, die ich Neutronen nennen will, in den Kernen existieren, welche den Spin 1/2 haben und das Ausschliessungsprinzip befolgen und ale von Lichtquanten ausserden noch dadurch unterscheiden, dass sie micht mit Lichtgeschwindigkeit laufen. Die Masse der Neutronen inste von derselben Grossenordnung wie die Elektronenwasse sein und jedenfalls nicht grösser als 0,01 Protonenmasse.- Das kontinuierliche beta- Spektrum wäre dann verständlich unter der Annahme, dass beim beta-Zerfall mit dem Elektron jeweils noch ein Neutron emittiert Mird. derart, dass die Summe der Energien von Neutron und Elektron konstant ist.

Nun handelt es sich weiter darum, welche Kräfte auf die Neutronen wirken. Das wahrscheinlichste Modell für das Neutron scheint mir sus wellenmechanischen Gründen (näheres weiss der Ueberbringer dieser Zeilen) dieses zu sein, dass das ruhende Neutron ein magnetischer Dipol von einem gewissen Moment Afist. Die Experimente verlangen wohl, dass die ionisierende Wirkung eines solchen Neutrons nicht grösser sein kann, als die eines gamma-Strahls und darf dann \mathcal{A} wohl nicht grösser sein als $\mathbf{e} \cdot (10^{-13} \text{ cm})$.

Ich traue mich vorläufig aber nicht, etwas über diese Idee su publisieren und wende mich erst vertrauensvoll an Euch, liebe Radioaktive, mit der Frage, wie es um den experimentellen Nachweis eines solchen Neutrons stände, wenn dieses ein ebensolches oder etwa 10mal grösseres Durchdringungsvermögen besitsen wirde, wie ein Strahl.

Ich gebe su, dass mein Ausweg vielleicht von vornherein Wanig wahrscheinlich erscheinen wird, weil man die Neutronen, wenn sie existieren, wohl schon lingst geschen hätte. Aber nur wer wagt, gestent und der Ernst der Situation beim kontinuierliche beta-Spektrum wird durch einen Aussprach meines verehrten Vorgängers im Ante, Herrn Debye, beleuchtet, der mir Mirslich in Brüssel gesagt hat: "O, daran soll man am besten gar nicht denken, sowie an die neuen Stevern." Darum soll man jeden Weg zur Rettung ernstlich diskutieren.~ Also, liebe Radioaktive, prüfet, und richtet .- Leider kann ich nicht personlich in Tübingen erscheinen, da sch infolge eines in der Nacht vom 6. sum 7 Des. in Zurich stattfindenden Balles hier unabkömmlich bin .- Mit vielen Grüssen an Euch, sowie an Herrn Back, Buer untertanigster Diener

ges. W. Pauli

Open letter to the group of radioactive people at the Gauverein meeting in Tübingen.

Copy

Physics Institute of the ETH Zürich

Zürich, Dec. 4, 1930 Gloriastrasse

Dear Radioactive Ladies and Gentlemen.

As the bearer of these lines, to whom I graciously ask you to listen, will explain to you in more detail, because of the "wrong" statistics of the N- and Li-6 nuclei and the continuous beta spectrum, I have hit upon a desperate remedy to save the "exchange theorem" (1) of statistics and the law of conservation of energy. Namely, the possibility that in the nuclei there could exist electrically neutral particles, which I will call neutrons, that have spin 1/2 and obey the exclusion principle and that further differ from light quanta in that they do not travel with the velocity of light. The mass of the neutrons should be of the same order of magnitude as the electron mass and in any event not larger than 0.01 proton mass. - The continuous beta spectrum would then make sense with the assumption that in beta decay, in addition to the electron, a neutron is emitted such that the sum of the energies of neutron and electron is constant.

Now it is also a question of which forces act upon neutrons. For me, the most likely model for the neutron seems to be, for wave-mechanical reasons (the bearer of these lines knows more), that the neutron at rest is a magnetic dipole with a certain moment μ . The experiments seem to require that the ionizing effect of such a neutron can not be bigger than the one of a gamma-ray, and then μ is probably not allowed to be larger than $e \cdot (10^{-13} \text{ cm})$.

But so far I do not dare to publish anything about this idea, and trustfully turn first to you, dear radioactive people, with the question of how likely it is to find experimental evidence for such a neutron if it would have the same or perhaps a 10 times larger ability to get through [material] than a gamma-ray.

I admit that my remedy may seem almost improbable because one probably would have seen those neutrons, if they exist, for a long time. But nothing ventured, nothing gained, and the seriousness of the situation, due to the continuous structure of the beta spectrum, is illuminated by a remark of my honored predecessor, Mr Debye, who told me recently in Bruxelles: "Oh, It's better not to think about this at all, like new taxes." Therefore one should seriously discuss every way of rescue. Thus, dear radioactive people, scrutinize and judge. - Unfortunately, I cannot personally appear in Tübingen since I am indispensable here in Zürich because of a ball on the night from December 6 to 7. With my best regards to you, and also to Mr. Back, your humble servant

signed W. Pauli

[Translation: Kurt Riesselmann]







An Origin Story: The Neutrino





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- If we were to observe many beta decays of tritium, we would expect that the emitted electron would have the same energy in each decay.
- It is observed to have different
 - energies.





 In order to save the conservation of energy — Pauli proposes that a new particle that was neutral, at least as light as the neutron and very difficult to detect also be emitted.









The Ghost Particle

- Pauli had called his ghost particle the "neutron" but Enrico Fermi later changed it to "neutrino" to distinguish it from the

 - neutron which makes up the mass of the nucleus
 - (discovered by James Chadwich 1932)
- Pauli was uneasy with the idea of an undetectable particle: "something no theorist should every do"
- Idea caught on quickly. Fermi worked out a complete theory of nuclear decays that included the neutrino within a few years of the Tübingen meeting.
- Hans Bethe and Rudolf Peierls understood that Fermi's theory suggested a way by which the neutrino could be experimentally detected — but it would require a target light-years thick!

AP Photo - Enrico Fermi





1953: Project Poltergeist

Clyde Cowan







Frederick Reines

copyright: Musee Curie





The Experiment Set-Up



copyright: IN2P3





- Antineutrinos from a reactor at Savanna River were the source.
- The detector was 11 m from the reactor and 12 m underground.
- Detector chamber was filled with 200 liters of water that had 40 kg of cadmium chloride dissolved.
- 1956 neutrinos were discovered by Cowan and Reines











1956 Detector @Savannah River













Neutrinos from the Sun



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- Ray Davis built a experiment in the Homestake mine (South Dakota) to detect neutrinos from fusion reactions inside the sun.
- Experiment consisted of 100,0000 gallon tank of perchloroethylene (dry cleaning fluid).
- When neutrinos interacted the the chlorine (Cl) atoms they would change into argon (³⁷Ar) atoms.



- Davis detected only about 1/3 the number of neutrinos predicted by theorists.
 - Was there something wrong with the experiment?
 - Was there something wrong with the standard solar model?









- In the 1990s the SNO experiment in Canada and the Kamiokande experiment Japan resolved the issue.
- Neutrinos came in three "flavors" electron, muon and tau.
- Davis was only measuring the electron neutrinos produced in the sun.
- Furthermore, it was later shown that the neutrino could change its flavor as it travels through space.



The Nobel Prize in Physics 2015 was awarded jointly to Takaaki Kajita and Arthur B. McDonald "for the discovery of neutrino oscillations, which shows that neutrinos have mass."



© Nobel Media AB. Photo: A. Mahmoud Takaaki Kajita Prize share: 1/2



© Nobel Media AB. Photo: A Mahmoud Arthur B. McDona Prize share: 1/2



Serendipity: Preparing for the Unexpected



- KamiokaNDE and IBM were water Cherenkov detectors designed to detect proton decay
- Realized that large cherenkov detectors would be ideal neutrino detectors
- KamiokaNDE II: Observed solar neutrinos, SN1987A (Nobel Prize: Masatoshi Koshiba)
- SNO, SuperK: Proved existence of neutrino oscillations. (Arthur McDonald and Takaaki Kajita)











Neutrinoless Double Beta Decay



Ovßß Decay References

- <u>https://www.mpi-hd.mpg.de/manitop/Neutrino/sheets/Lecture14_SS21.pdf</u>
- <u>https://arxiv.org/abs/2108.09364</u>
- <u>https://arxiv.org/pdf/0708.1033.pdf</u>
- https://journals.aps.org/rmp/abstract/10.1103/RevModPhys.87.137









Double Beta Decay

- The Pauli Exclusion principle results in even nuclei with paired spins being in lower energy states.
- For certain nuclei with even numbers of both protons and neutrons (even-even nuclei) single beta decay is not allowed because of conservation of momentum.
- These nuclei can double-beta decay instead (proposed in 1935)

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Double Beta Decay

- This is a second-order weak process, $t_{1/2} \sim 10^{19}$ to 10^{21} years.
- Very long-lived process, first observed in 1987.
- In the Standard Model 2 electrons and 2 antineutrinos are emitted.

 $(Z, A) \longrightarrow (Z + 2, A) + 2e^- + \overline{\nu}_{e^-}$









Neutrinoless Double Beta Decay

neutron



• If neutrinos are Majorana, $0\nu\beta\beta$ decay can occur.

Lepton number is violated













What does this look like?

2 neutrino double beta decay is allowed in some isotopes, involves transformation of 2 neutrons into two protons









If neutrinos are Majorana particles, then neutrino-less double beta decay should be allowed.



The rate can be written as



 $\Gamma(0\nu) =$

 $\langle m_{\beta\beta} \rangle$ =

Even under these Simple assumptions, the $0\nu\beta\beta$ rate depends on mixing angles, δ_{CP} , neutrino masses, mass hierarchy, and 2 totally unknown phases

$$U = \begin{bmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{bmatrix}$$

 $s_{12}c_{13}$ $c_{12}c_{13}$ $c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta}$ $-s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta}$ = $s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta}$ $-c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta}$

cij = cos θ ij , sij = sin θ ij , δ = Dirac CP violation, α i = Majorana CP violation



$$= T_{1/2} = (G \cdot |M_{0\nu}|^2 \cdot \langle m_{\beta\beta} \rangle^2)^{-1}$$

G = phase factor for 2ν emmission

 $M_{0\nu}$ = matrix element

$$= |\sum_{i=1}^{3} m_i U_{ei}^2|$$

Sensitive to the effective Majorana ν mass

- Majorana mass of $m_i =$ individual mass eigenstate
- $U_{ei} =$ components of the neutrino mixing matrix







The rate can be written as

 $\Gamma(0\nu) =$

 $M_{0\nu} =$

 $\langle m_{\beta\beta} \rangle$ =

Phase factor: difference between initial and final energy and momentum



$$= T_{1/2} = (G^{0\nu} \cdot |M_{0\nu}|^2 \cdot \langle m_{\beta\beta} \rangle^2)^{-1}$$

 $G^{0\nu}$ = phase factor for emission of 2 electrons

$$= |\sum_{i=1}^{5} m_i U_{ei}^2|$$

 Scales with Q-value —> Higher Q value, higher rate







The rate can be written as

 $\Gamma(0\nu) =$

 $\langle m_{\beta\beta} \rangle$ =



$$= T_{1/2} = (G^{0\nu} \cdot |M_{0\nu}|^2 \cdot \langle m_{\beta\beta} \rangle^2)^{-1}$$

 $G^{0\nu}$ = phase factor for emission of 2 electrons

 $M_{0\nu}$ = matrix element

$$= |\sum_{i=1}^{3} m_i U_{ei}^2|$$

 $T_{1/2}$ is what experiments measure

 $m_{\beta\beta}$ is the physics we are trying to extract







The rate can be written as

 $\Gamma(0\nu) =$

 $G^{0\nu}$ = phase factor for emission of 2 electrons $M_{0\nu}$ = matrix element

 $\langle m_{\beta\beta} \rangle$ =

Nuclear matrix element: how the nuclear decay occurs (states of nucleons, nucleus shape, etc.)



$$= T_{1/2} = (G^{0\nu} \cdot |M_{0\nu}|^2 \cdot \langle m_{\beta\beta} \rangle^2)^{-1}$$

$$= |\sum_{i=1}^{3} m_i U_{ei}^2|$$





Theoretical Considerations

• The half-life of the $0\nu\beta\beta$ decay process requires nuclear matrix elements

$$T_{1/2} = (G \cdot |M_{0\nu}|^2 \cdot \langle m_{\beta\beta} \rangle^2)^{-1}$$

Nuclear matrix elements account for the nuclear structure -

- Translate between what is measured and $m_{\beta\beta}$
- Used to compete experiments using • different isotopes
- Can not be measured separately must be evaluated theoretically.





- This is a many body problem only approximate solutions
- Hence, there are different theoretical approaches and calculations that give **differing** results.



Neutrinoless Double Beta Decay

 m_{etaeta}

$$\Gamma(0\nu) = T_{1/2} = (G \cdot |M_{0\nu}|^2 \cdot \langle m_{\beta\beta} \rangle^2)^{-1}$$

- Rate depends on mixing angles, • neutrino mass, and mass hierarchy
- Uncertainties in lightest v mass, phases, hierarchy

 $m_3 > m_2 > m_1 = \text{normal ordering (NH)}$ Hierarchy $m_2 > m_1 > m_3 = \text{inverted ordering (IH)}$







How rare is 0vßß decay?

Half life - How long it take for half the atoms to decay





The age of the universe: 14 billion years = 1.4×10^{10} yrs

Two Neutrino Double Beta Decay: Half life = $\sim 10^{20}$ yrs

Neutrinoless Double Beta Decay: Half life $> 10^{26}$ yrs

Avagodro's Number: 6 x 10²³





How rare is 0vßß decay?

Half life - How long it take for half the atoms to decay

Don't wait for half to decay, wait for 1 to decay! If you watch 50 kg of atoms for 5 years, you'll see 1 decay for a half-life of 10²⁶ years





The age of the universe: 14 billion years = 1.4×10^{10} yrs

Two Neutrino Double Beta Decay: Half life = $\sim 10^{20}$ yrs

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Experimental Considerations



How to detect 0vßß decay



Slide taken from Julieta Gruszko, Neutrino University Series @ Fermilab

- Don't detect neutrinos directly



Design Considerations

To see 1 atom out of $\sim 10^{26}$ decays (or more)

- Very high efficiency
- Very low backgrounds
- ► The best-possible energy resolution
- > Ability to verify signal candidates have the right properties
- Lessons learned from other rare event searches (neutrinos, dark matter)
 - Go underground to reduce cosmic ray induced backgrounds
 - ► Use active and passive shielding
 - > Select radiopure materials in the design and construction of the experiment.
 - ► It helps if you can distinguish between signal and background (ie "tag events"





Implications on Background Requirements









Experimental Considerations

- Two measurement techniques for measuring the electrons from the 2ββ decay
 - Spectroscopy looking for a peak
 - Tracking reconstructing topology
- $Q_{\beta\beta}$ values depend on the target isotope
- The number of events

$$N \propto \frac{N_A}{W} \cdot \frac{a \cdot \epsilon \cdot M \cdot t}{T_{1/2}}$$

 N_A = Avogadro's Number M = isotope masst = measuring timeW = molar massa = isotope abundance $T_{1/2}$ = isotope half-life ϵ = detection efficiency



Isptope	Natural abundance [%]	$Q_{\beta\beta} [{\rm MeV}]$
^{48}Ca	0.187	4.263
$^{76}\mathrm{Ge}$	7.8	2.039
$^{82}\mathrm{Se}$	8.7	2.998
$^{96}\mathrm{Zr}$	2.8	3.348
$^{100}\mathrm{Mo}$	9.8	3.035
$^{116}\mathrm{Cd}$	7.5	2.813
$^{130}\mathrm{Te}$	34.08	2.527
$^{136}\mathrm{Xe}$	8.9	2.459
$^{150}\mathrm{Nd}$	5.6	3.371







Experimental Considerations

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- N_A = Avogadro's Number
- W = molar mass

- a = isotope abundance
- ϵ = detection efficiency

- M = 1 sotope mass
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- $T_{1/2}$ = isotope half-life



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Isotope abundance:

Either pick an abundant isotope or enrich your isotope





Importance of Q-value

- The $0\nu\beta\beta$ decay half-life scales as $\propto Q^5$ (phase-factor scaling) giving a significant boost to detector mediums with large Q.
- Most radioactive backgrounds have energies < 2.6 MeV
- This example Q-value is 2.459 MeV
 - Backgrounds from tail of the $2\nu\beta\beta$ and radiogenic backgrounds overwhelm signal region
 - Limits were set (EXO-200) with this data, but the experiment was background limited.









Event Signatures

$cosmic \mu$ (external)



Differences in range and type of interaction

- γ , β , and μ interact. with electrons
- α, ν and n scatter off nuclei



n/v backgrounds (external):

 α backgrounds (mostly surface events):



ββ decay:





The Experimental Landscape (in a nutshell)



Experiment	Isotope	Mass	Technique	Present Status	Location
CANDLES-III [124]	^{48}Ca	305 kg	$^{nat}CaF_2$ scint. crystals	Operating	Kamioka
CDEX-1 [125]	$^{76}\mathrm{Ge}$	1 kg	enrGe semicond. det.	Prototype	CJPL
CDEX-300 ν [125]	$^{76}\mathrm{Ge}$	$225 \ \mathrm{kg}$	enrGe semicond. det.	Construction	CJPL
LEGEND-200 [16]	$^{76}\mathrm{Ge}$	200 kg	enrGe semicond. det.	Commissioning	LNGS
LEGEND-1000 [16]	$^{76}\mathrm{Ge}$	1 ton	enrGe semicond. det.	Proposal	
CUPID-0 [19]	$^{82}\mathrm{Se}$	10 kg	$Zn^{enr}Se$ scint. bolometers	Prototype	LNGS
SuperNEMO-Dem [126]	82 Se	7 kg	enr Se foils/tracking	Operation	Modane
SuperNEMO [126]	82 Se	100 kg	enr Se foils/tracking	Proposal	Modane
Selena [127]	82 Se		enrSe, CMOS	Development	
IFC [128]	82 Se		ion drift SeF_6 TPC	Development	
CUPID-Mo [17]	^{100}Mo	4 kg	$Li^{enr}MoO_4$, scint. bolom.	Prototype	LNGS
AMoRE-I [129]	^{100}Mo	6 kg	40 Ca 100 MoO ₄ bolometers	Operation	YangYang
AMoRE-II [129]	^{100}Mo	$200 \ \mathrm{kg}$	40 Ca ¹⁰⁰ MoO ₄ bolometers	Construction	Yemilab
CROSS [130]	^{100}Mo	$5 \mathrm{kg}$	$Li_2^{100}MoO_4$, surf. coat bolom.	Prototype	Canfranc
BINGO [131]	^{100}Mo		${ m Li}^{enr}{ m MoO_4}$	Development	LNGS
CUPID [28]	^{100}Mo	$450~\mathrm{kg}$	$Li^{enr}MoO_4$, scint. bolom.	Proposal	LNGS
China-Europe [132]	^{116}Cd		enr CdWO ₄ scint. crystals	Development	CJPL
COBRA-XDEM [133]	^{116}Cd	$0.32 \ \mathrm{kg}$	nat Cd CZT semicond. det.	Operation	LNGS
Nano-Tracking [134]	^{116}Cd		nat CdTe. det.	Development	
TIN. TIN [135]	124 Sn		Tin bolometers	Development	INO
CUORE [10]	130 Te	1 ton	TeO_2 bolometers	Operating	LNGS
SNO+[136]	130 Te	3.9 t	$0.5\text{-}3\%$ $^{nat}\mathrm{Te}$ loaded liq. scint.	Commissioning	SNOLab
nEXO [29]	¹³⁶ Xe	$5 \mathrm{t}$	Liq. ^{enr} Xe TPC/scint.	Proposal	
NEXT-100 [137]	¹³⁶ Xe	$100 \ \mathrm{kg}$	gas TPC	Construction	Canfranc
NEXT-HD [137]	¹³⁶ Xe	1 ton	gas TPC	Proposal	Canfranc
AXEL [138]	¹³⁶ Xe		gas TPC	Prototype	
KamLAND-Zen-800 [13]	¹³⁶ Xe	$745 \mathrm{~kg}$	^{enr} Xe disolved in liq. scint.	Operating	Kamioka
KamLAND2-Zen [41]	¹³⁶ Xe		enr Xe disolved in liq. scint.	Development	Kamioka
LZ [139]	¹³⁶ Xe	600 kg	Dual phase Xe TPC, nat./enr. Xe	Operation	SURF
PandaX-4T [119]	¹³⁶ Xe	$3.7 ext{ ton}$	Dual phase nat. Xe TPC	Operation	CJPL
XENONnT [140]	¹³⁶ Xe	$5.9 ext{ ton}$	Dual phase Xe TPC	Operating	LNGS
DARWIN [141]	¹³⁶ Xe	50 ton	Dual phase Xe TPC	Proposal	LNGS
R2D2 [142]	¹³⁶ Xe		Spherical Xe TPC	Development	
LAr TPC [143]	¹³⁶ Xe	kton	Xe-doped LR TPC	Development	
NuDot $[144]$	Various		Cherenkov and scint. in liq. scint.	Development	
THEIA [145]	Xe or Te		Cherenkov and scint. in liq. scint.	Development	
JUNO [146]	Xe or Te		Doped liq. scint.	Development	
Slow-Fluor [147]	Xe or Te		Slow Fluor Scint.	Development	

Experimental Landscape in a Nutshell

Source: <u>arxiv: 2212.11099</u> 2023 US Nuclear Physics Long Range Plan White Paper on Neutrinoless Double Beta Decay





Experimental Techniques

Granular Detectors



Bolometers and Semiconductors: LEGEND, CUPID

Advantages:

- Energy Resolution
- Staging





Monolithic Detectors



Scintillators and TPCs:

nEXO, Kamland-Zen, SNO+, NEXT, etc.

Advantages:

- Self shielding
- Scalability



LEGEND Concept











LEGEND Concept



HPGe point-contact detectors:

- Event topology and ٠ fiducialization
- Excellent (~0.1%) • energy resolution

 $\beta\beta$ decay signal: single energy deposition in a 1 mm³ volume



Slide taken from Julieta Gruszko, Neutrino University Series @ Fermilab



Pulse shape discrimination (PSD) for multi-site and surface α events

Ge detector anti-coincidence

Scintillating PEN plate holder

LAr veto based on Ar scintillation light read by fibers and PMT

Muon veto based on Cherenkov light and plastic scintillator







nEXO Concept

- TPC with 5000 kg of 90% enriched ¹³⁶Xe
 - SiPMs to collect scintillation light
 - Charge Tiles to collect ionization signal
- Outer Detector to veto muons
- Layers of passive shielding
- Low intrinsic background in Xenon
- Monolithic design means self-shielding from external backgrounds
- Good energy resolution, $\sigma/E \sim 0.8\%$ @ $Q_{\beta\beta}$
- Intended location at SNOLAB's Cryopit



Discovery Sensitivities for Current and Next Generation $0\nu\beta\beta$ Decay Experiments

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Conclusions

- Rich program in underground science both in breadth and depth.
- Dark matter program is expansive
- Next generation $0\nu\beta\beta$ program is in a planning process.





